

**CERTIFICATION OF COMPLIANCE
PURSUANT TO PART 36 OF THE RULES OF THE CHIEF JUDGE**

1. _____ Court, _____ County.

2. Appointee

Name _____ Firm Name, if any _____

Address _____

Federal Employer
Identification No. _____

Soc. Sec. No. _____ Phone No. _____

Occupation: Attorney Other (Specify) _____

3. Court Docket/File/Index Number _____

4. Title of Proceeding _____

5. Name of Appointing Justice/Judge _____

6. Person or Interest Appointee Represents _____

7. Have you received any other appointments within the previous 12 months? YES__ NO__

If yes, please attach list of appointments. Include a) court of appointment, b) type of appointment, c) date of appointment, d) title of proceeding and case index number.

8. Pursuant to 22 NYCRR section 36.1 (d) (Rules of the Chief Judge), I hereby certify that:

a) I am not a relative of, or related by marriage to, a judge of the Unified Court System of the State of New York and,

b) Receipt of this appointment will not give me more than one appointment within the prior 12 months, calculated from the date of appointment, for which the compensation was or is anticipated to exceed the sum of \$5,000.00

— or —

will give me more than one such appointment, but the appointment has been made by the appointing judge based upon a determination that unusual circumstances of continuity of representation or familiarity with a case require the appointment, and the judge has so notified me of that determination.

_____ Date

_____ Signature

NOTICE TO APPOINTEE
This certification must be submitted to the appointing judge prior to accepting any appointment.
NOTICE TO APPOINTING JUDGE
This certification is a permanent record and must be placed in the court file.

INSTRUCTIONS TO CERTIFICATION OF COMPLIANCE
Pursuant to Part 36 of the Rules of the Chief Judge

- Item 1** Enter the specific title of the court, e.g., Supreme Court, Surrogate's Court, etc., and enter the name of the county in which the appointing court is located.
- Item 2** **Name and Address:**
Enter name and mailing address of appointee.
- Social Security Number:**
Enter social security number of appointee.
- Occupation:**
Check appropriate box. If "other," please specify, e.g., physician, accountant, etc.
- Firm Name:**
Appointee's firm name must be entered here regardless of whether a member, partner, associate, counsel or employee and regardless of whether any fee or allowance received is to be retained or is to go to the firm.
- Federal Employer Identification No.:**
Enter firm's Internal Revenue Service Federal Employer's Identification Number. Where no number is available, enter "None".
- Phone No.:**
Enter appointee's phone number.
- Item 3** Enter either the docket, file, index number or any other identification assigned by the court to this matter. If there is no number assigned, enter "None".
- Item 4** Enter the title of the action or proceeding in which appointed, e.g., Smith v. Jones, Matter of Adams, etc. If it is a multiparty action, name only the first party, e.g., Smith et al v. Jones et al.
- Item 5** Enter the first initial and full last name of the judge or justice who made the appointment. If made by the judges of a multi-judge court, enter "the Court."
- Item 6** Enter the name of the person or interest represented. If there are multiple parties, enter the specific name of the client. If appointee represents all plaintiffs or defendants in a multi-party case, state "all plaintiffs". If appointee represents the court, state "the Court".
- Item 7** If you have received any other appointments within the previous 12 months, attach a separate page listing appointments. Include a) court of appointment, b) type of appointment, c) date of appointment, d) title of proceeding and case index number.
- Item 8** Date, sign and submit to the appointing judge. If you have a problem completing this certification, contact the appointing judge immediately.